

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicant(s): | Daniel C. Guterman et al. | | |
| Title: | Novel Multi-State Memory | | |
| Application No.: | Unassigned | Filing Date: | Herewith |
| Examiner: | Unassigned | Group Art Unit: | Unassigned |
| Docket No.: | SNDK.138USM | Conf. No.: | Unassigned |

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

- ☒ Copies of the documents listed on the accompanying Form PTO-1449 are enclosed.
- ☒ Copies of the documents listed on the accompanying Form PTO-1449 that are not enclosed were previously submitted in Application No. 10/013,592, from which this Application claims an earlier effective filing date.
- ☒ This application has a filing date after June 30, 2003. Copies of the U.S. Patent(s) and U.S. Published Patent Application(s) documents listed on the accompanying Form PTO-1449 are not enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or

Attorney Docket No.: SNDK.138USM

Express Mail No.: EV 437668010 US

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VN/

(3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

**EXPRESS MAIL
LABEL NO:**

EV 437668010 US

Respectfully submitted,

Gerald P. Parsons
Gerald P. Parsons
Reg. No. 24,486

March 24, 2004
Date

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| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
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| U.S. Department of Commerce, Patent and Trademark | Atty Docket No. | Application No. |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | SN DK.138USI | Unknown |
| | Applicant(s) | |
| (Use several sheets if necessary) | Guterman et al. | |
| | Filing Date | Group |
| | Unknown | Unknown |

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| | Document | Date | Country | Class | Subclass | Yes | No | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

FORM PT01449
(REV. 8-83)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

M-10277-3C

UNASSIGNED

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT

Hock C. So and Sau C. Wong

FILED

October 18, 2001

GROUP

Not Assigned

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FORM PT01449
(REV. 8-83)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

HARI.026US5

SERIAL NO.

09/437,078

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT

Daniel L. Auclair et al.

FILED

November 9, 1999

GROUP

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FORM PT01449
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INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT

Daniel L. Auclair et al.

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Notice of References CitedApplication No.
09/437,078

Applicant(s)

Auclair et al.

Examiner

Emmanuel L. Moise

Group Art Unit

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Page 1 of 1

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Sheet 1 of 1

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| U.S. Department of Commerce, Patent and Trademark Office | | | | | Atty Docket No. | | Application No. | |
| | | | | | M-10202-5C US | | 09/437,078 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | | Applicants | | | |
| (Use several sheets if necessary) | | | | | Daniel L. Auclair et al. | | | |
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| | | | | | | | Translation | |
| | | Document | Date | Country | Class | Subclass | Yes | No |
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| U.S. Department of Commerce, Patent and Trademark Office | | | | Atty Docket No. | | Application No. | |
| | | | | M-10249-14P US | | 10/013,592 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Applicant(s) | | Confirmation No. | |
| (Use several sheets if necessary) | | | | Daniel C. Guterman et al. | | 1251 | |
| | | | | Filing Date | | Art Unit Group | |
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| U.S. Department of Commerce, Patent and Trademark Office | | | | Atty Docket No. | | Application No. | |
| | | | | SNDK.138USE formerly (M-10249-14P US) | | 10/013,592 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Applicants | | Confirmation No. | |
| (Use several sheets if necessary) | | | | Daniel C. Guterman et al. | | 1251 | |
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| U.S. Department of Commerce, Patent and Trademark Office | | | | Atty Docket No. SNDK.138USE formerly (M-10249-14P US) | | Application No. 10/013,592 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | Applicants Daniel C. Guterman et al. | | Confirmation No. 1251 | |
| | | | | Filing Date November 13, 2001 | | Art Unit Group 2818 | |
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Sheet 3 of 8

U.S. Department of Commerce, Patent and Trademark Office

Atty Docket No.

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formerly (M-10249-14P US)

10/013,592

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicants

Confirmation No.

(Use several sheets if necessary)

Daniel C. Guterman et al.

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Filing Date

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Notice of References CitedApplication No.
09/200,220

Applicant(s)

SO ET AL.

Examiner

David Lam

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2818

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INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT

Hock C. So and Sau C. Wong

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